



Why e-learning?



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MIA e-Learning Series:

AML/CFT for Malaysian Reporting Institutions



Who should Attend?

- Compliance or AML/CFT Officer
- Risk Management Officer
- Internal or External Audit Professionals / Personnel
- Senior Management and Board Members
- Staff New to compliance & AML/CFT

Learning Outcome

- Provide an overview and improve awareness on the Anti-Money Laundering, Anti-Terrorism Financing and Proceed of Unlawful Activities Act 2001 (AMLA)
- Exercise their responsibilities effectively thus protecting the organisation's reputation and avoiding regulatory action under AMLA
- Enable participants to apply or comply with AMLA requirements.
- Comply with the requirements of AMLA, Section 19 (2)(b) on staff training.
- Understand the role of Compliance Officers and Internal Auditors in prevention of Money Laundering (ML) and Terrorism Financing (TF).

Programme Overview

The programme is designed to assist learners in building a sound understanding of the requirements under AMLATFPUAA 2001 ("AMLA") for Malaysian Reporting Institutions. Under AMLATFPUAA 2001, all reporting institutions are required to provide ongoing employee training programmes to detect any potential offence of money laundering or terrorist financing. Reporting institutions are also required to implement an AML/CFT risk management framework that commensurate with the level of money laundering and terrorism financing risks posed to their organisation.

The modules discussed shall give guidance on the best practices for escalating suspicious transaction reports (STRs) to Bank Negara Malaysia, conducting Customer Due Diligence (CDD), the importance of Know Your Customer (KYC) and individuals categorised as Beneficial Owners (BOs), Politically Exposed Person (PEPs) and Relative and Other Associates (RCAs).

Programme Content

MODULE 1:

ANTI-MONEY LAUNDERING, TERRORISM FINANCING AND PROLIFERATION FINANCING

The module sheds light on the definition, processes, red flags and key differences between AML, TF and PF, giving participants a firm foundation before moving on to understand the International Recommendations and Local Legislation on AML/CFT.

MODULE 2:

GLOBAL, REGIONAL AND NATIONAL FRAMEWORK FOR AML/CFT COMPLIANCE

The module explores the roles and responsibilities of vital International organisations that set the stage for together AML/CFT compliance requirements across the globe before focusing on the roles and responsibilities of the National Coordinating Committee (NCC) and relevant bodies under the regulatory Enforcement and Policy.

MODULE 3:

KNOW YOUR CUSTOMER (KYC)

The module highlights key AML/CFT obligations for Reporting Institutions (RIs) under AMLA. It focuses on the Know Your Customer (KYC) and Risk Based Approach (RBA) which goes hand in hand when a reporting Institution is deciding on rolling out a well-defined compliance programme. This includes key areas of concern for individuals designated as Politically Exposed Persons (PEPs), Relative and Close Associates (RCAs) and Beneficial Owners (BOs) and entities designated as Politically Exposed Corporations (PECs).

MODULE 4:

SUSPICIOUS TRANSACTION REPORTING (STR)

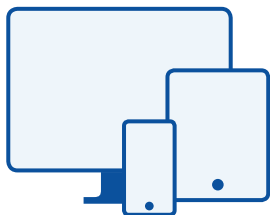
The module discusses the role and responsibility of the Reporting Institutions (RIs) to report suspicious or unusual customer behavior or account activity. It includes means to assess a particular situation and the red flags that can direct decisions being made to raise the STR. Participants will also be advised on the best practices to write and submit their STR on a timely basis.

MODULE 5:

GUIDELINES FOR PROFESSIONAL FIRMS

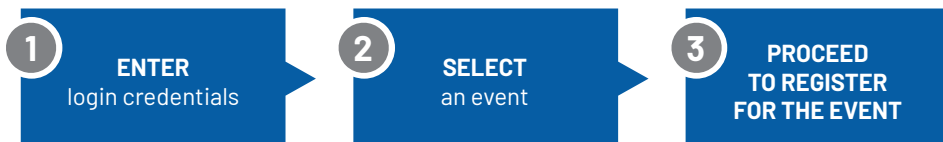
The module sheds light on the definition, roles and responsibilities of Designated Non-Financial Businesses and Professions (DNFBPs) as Reporting Institutions (RIs) under AMLA. It highlights the ways DNFBPs could roll out a well-defined compliance programme by taking into account the context of their environment / operations, risks posed to their organisations and services or products offered to their customers.

REGISTRATION PROCESS

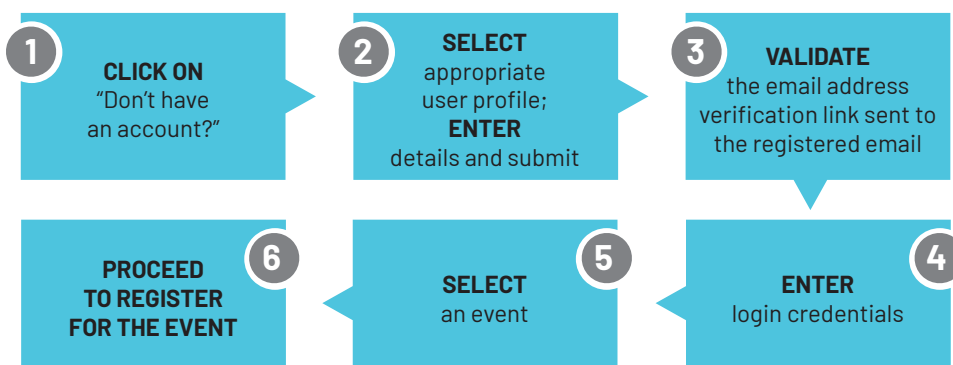


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PROGRAMME FEES

Member	RM 190
Non-member	RM 220

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