



MALAYSIAN INSTITUTE
OF ACCOUNTANTS

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**FIGHTING
FRAUD AT EVERY
STEP IN YOUR
ORGANISATION!**

FINANCIAL FRAUD & FORENSICS CONFERENCE 2023

Image source: Freepik.com

11 April 2023 (Tuesday), 8.30am – 4.30pm
Berjaya Times Square Hotel, Kuala Lumpur

WHY YOU SHOULD ATTEND THIS CONFERENCE

- **DETECT** fraudulent Financial Reporting— through sharing of a case study that will convey effective methods to detect red flags, misstatements, and potential fraud in published financial statements.
- **CUSTOMISE** comprehensive strategy with effective systems, controls, and risk management in your organisation which adequately comply to regulatory requirements.
- **ESTABLISH** clear policies and procedures for collecting, verifying, and reporting ESG data for promoting transparency, accountability, and sustainability
- **ENSURE** your organisation has appropriate safeguards in place to prevent, detect, and respond to instances of fraud and corruption by using the three lines of defence model

WHO SHOULD ATTEND

- Board Directors
- Audit Committee Members
- C Suite Executives
- CFOs & Accountants
- Compliance Officers
- Company Secretaries
- Forensic Auditors/Specialists
- Internal and External Auditors
- Auditor General's Department Officers
- Malaysian Anti-Corruption Commission Officers
- Securities Commission Officers
- Bursa Malaysia Officers
- Inland Revenue Board Officers
- Lawyers
- Academicians

In tandem with global trends, the Malaysian government has stepped up its efforts to combat financial crimes and fraud, particularly in the aftermath of the 1MDB scandal, which involved allegations of massive financial fraud and corruption. Detecting and preventing financial fraud is critical to maintain public trust in corporate organisations as financial fraud can have a significant impact on individuals, organisations in both the private and public sector, and the whole of society.

The field of forensics to combat financial fraud has grown in importance in recent years as the global economy has become more interconnected and complex. The **Financial Fraud & Forensics Conference** is a professional gathering that brings together experts from the financial industries to discuss and address the latest trends, issues, and challenges related to financial fraud and forensics. The conference provides an opportunity for professionals in the field to share their knowledge and expertise, network with their peers and covers a wide range of topics related to financial forensics investigations, whistleblowing and anti-money laundering and terrorism financing, with lessons learned from a relevant fraud case study.

By attending the **Financial Fraud & Forensics Conference 2023**, participants can stay up to date on the latest industry developments, learn new skills and techniques, and build their professional networks. Ultimately, this helps to strengthen the fight against financial fraud and protect individuals, businesses, and society.

CONFERENCE OUTLINE

8.00 am REGISTRATION & WELCOME REFRESHMENTS

8.30 am PANEL DISCUSSION

THE CRITICAL ROLES OF THE THREE (3) LINES OF DEFENCE AGAINST FRAUD AND CORRUPTION

The three lines of defence against fraud and corruption are a commonly used model for ensuring that an organisation has appropriate safeguards in place to prevent, detect, and respond to instances of fraud and corruption. Each of the three lines of defence plays a critical role in protecting an organisation against fraud and corruption, and they work together to provide a comprehensive and effective defence against this threat.

9.45 am PANEL DISCUSSION

SPEAK UP SAFELY: THE IMPORTANCE OF WHISTLEBLOWING IN AN ORGANISATION

Whistleblowing is perceived as a positive act because it can help expose illegal, unethical, or dangerous practices within an organisation that pose a significant risk of harm to others or to the public interest and hold those responsible accountable. Get updates on the latest developments in whistleblowing policy and the importance of whistleblowing in an organisation, while keeping whistleblowers safe.

MODERATOR

EULIS RACHMATIAH ISKANDAR | Member, Ethics Standard Board, MIA

PANELLISTS

MAHESWARI KANNIAH | Executive Director/Group Chief Regulatory and Compliance Officer, Kenanga Investment Bank Berhad

MOHD HASRAFF HASHIM | Assistant Commissioner, Malaysian Anti-Corruption Commission

SANJAY SIDHU | Executive Director, Advisory, BDO Malaysia

11.00 am MORNING REFRESHMENTS & NETWORKING

11.30 am **A CASE STUDY ON FRAUDULENT FINANCIAL REPORTING**

Fraudulent financial reporting is the act of intentionally misrepresenting or providing false information in financial statements or reports to make a company appear more profitable or financially stable than it actually is. This type of fraud can have severe consequences such as legal and financial penalties, damage to the company's reputation, and loss of investors' trust, and is considered as a criminal activity. This case study aims to alert users of financial statements to red flags and enable improved detection and prevention of financial statement fraud.

SPEAKER

SAKET BHARTIA | Managing Director, Forensic Investigations and Intelligence, South East Asia, Kroll

12.15 pm LUNCH & NETWORKING

1.15 pm PANEL DISCUSSION

FIGHTING FINANCIAL CRIME: AN EFFECTIVE APPROACH TO ANTI-MONEY LAUNDERING AND TERRORISM FINANCING

An effective approach to anti-money laundering (AML) and terrorism financing (TF) requires a comprehensive strategy that includes strong regulatory frameworks, effective systems and controls, and risk management. This session discusses the guidelines that financial institutions and other regulated entities can follow in their efforts to prevent and fight money laundering and terrorism financing.

MODERATOR

RAYMON RAM | Managing Principal, Graymatter Forensic Advisory Sdn Bhd

2.30 pm **EVIDENTIAL ISSUES IN FINANCIAL FORENSICS INVESTIGATIONS**

Evidential issues refer to challenges in obtaining, preserving, and presenting credible and reliable evidence to support the findings and conclusions of financial forensics investigations. This session delves deeper into the investigation techniques that support evidential issues.

3.15 pm **SAFEGUARDING ESG REPORTING FROM FRAUD AND GREENWASHING**

ESG data is used to evaluate the sustainability and ethical impact of investments, and therefore, misrepresenting or manipulating this data can have serious consequences for investors. This session offers insights into implementing strong internal controls and establishing clear policies and procedures. For instance, internal controls, such as segregation of duties and access controls, can help prevent and detect ESG fraud by limiting the opportunity for misconduct and ensuring that data is accurate and reliable.

4.00 pm AFTERNOON REFRESHMENTS & NETWORKING

4.30 pm END OF CONFERENCE

CONFERENCE SPEAKERS



EULIS RACHMATIAH ISKANDAR

Eulis is the Founder and Principal Trainer of Ethiculture Training and Consultancy. She had previously served as Head of Ethics & Privacy at Celcom Axiata Berhad, and Senior Legal Counsel with the Corporate Governance & International Compliance Unit, Group Legal, PETRONAS. Before focussing on ethics and compliance, she had over 20 years of working experience as General Counsel and Company Secretary for various public listed companies. Experience includes advising the Board and Management on compliance with the Companies Act, Bursa Malaysia Listing Requirements, and the Code of Corporate Governance. She recently joins Transparency International Malaysia as an Executive Committee Member. She is a Certified Integrity Officer (CeIO) under the Malaysian Anti-Corruption Academy and a Certified Information Privacy Manager (CIPM) under the International Association of Privacy Professionals (IAPP). She is also a member of the Ethics Standard Board of MIA, an Elite@UM Fellow with the University of Malaysia, and lectures on the topic of Data Protection and Privacy. Eulis holds an LLB (Hons) in Business Law from the London Metropolitan University.



MAHESWARI KANNIAH

Mahes is the Executive Director/Group Chief Regulatory and Compliance Officer at Kenanga Investment Bank Berhad. She is an experienced Regulatory and Compliance professional with over 43 years of experience including senior management roles in the financial services sector, and has conducted investigations in the capital market and anti-money laundering areas. She has wide-ranging exposures covering 20 years of Compliance, Regulatory, Governance, Ethics, Fraud Investigation and Risk Management functions in commercial bank, investment bank, securities broking, futures broking, asset management and private equity as well as 23 years of senior company secretarial functions of a listed company. She serves on the Board of Institute of Corporate Directors Malaysia and is a Member of its Audit and Risk Management Committee and Chairman of its Members Disciplinary Committee. She is a Member of the Risk Management Committee of University Malaya, Council Member of the Malaysian Association of Certified Fraud Examiners, Member of the Curriculum Review Committee of the Securities Industry Development Corporation, Member of the Membership Committee of The Malaysian Institute of Chartered Secretaries and Administrators, and Mentor for both the Malaysia Australia Business Council and the 30% Women Club Mentoring Programme. Mahes is a Fellow of ICDM, Fellow Chartered Secretary and Chartered Governance Professional of the Chartered Governance Institute, United Kingdom and the MAICSA. She is a Certified Fraud Examiner with the ACFE, US/Malaysia, a Chartered Banker, AICB, a Certified Capital Market Professional—Compliance and a Certified Expert in ESG and Impact Investing from Frankfurt School of Finance & Management.



MOHD HASRAFF HASHIM

Mohd Hasraff is an Assistant Commissioner of the Malaysian Anti-Corruption Commission (MACC). He primarily leads his team in providing training, particularly in the Corruption Risk Management (CRM) for Certified Integrity Officer programme initiated by the Malaysian Anti-Corruption Academy. Among the modules and topics included in the CRM programme are section 17A of the MACC Act, National Anti-Corruption Plan, and Organisational Anti-Corruption Plan, and this programme is aimed at various governmental and non-governmental agencies, government-linked companies, and private companies. Mohd Hasraff is a Certified Integrity Officer and holds the Certificate in Compliance by the Basel Institute on Governance, Switzerland, and the Malaysian Institute of Management. He, representing the MACC, has been actively involved in attending international workshops and forums, such as the APEC Workshop to Draft Voluntary Codes of Business Ethics for the Medical Device Sector (Brunei, 2012); Business Ethics Workshop Programme for SMEs (Laos, 2013); and APEC Business Ethics for SMEs Forum (China, 2014). He has also spoken in many integrity and compliance-related forums across Malaysia.



RAYMON RAM

Raymon is an advocate against economic crime, having published numerous articles and case analyses on the subject matter. He is a Certified Fraud Examiner (CFE), Certified Anti-Money Laundering Specialist (CAMS) and ISO 37001 ABMS Lead Auditor with a Master's Degree in Economic Crime Management, and Certificate in Corporate Governance and Crypto AML Compliance by the Basel Institute of Governance, Switzerland. He is currently pursuing his Doctorate in Business Administration in Malaysia. He has been instrumental in the development of the Guidance for Good Practice and Checklist for Adequate Procedures, based on Section 17A, MACC Act 2009 and the internal investigation checklist for the MACC on matters related to the newly enforced Section. His expertise includes conducting fraud and corruption risk management exercises and provision of AML/CFT advisory for both financial institutions and Designated Non-Financial Businesses and Professions (DNFBPs). Raymon has also been actively involved in complex corporate investigations which include the use of data analytics, machine learning and digital forensic capabilities. Raymon is currently the Founder / Managing Principal at Graymatter Forensic Advisory Sdn. Bhd., a boutique firm specialising in Financial Forensics, Fraud Risk Management and AML/CFT Compliance. He also holds the position of Secretary General at Transparency International Malaysia (TI-M), and serves on the DTIC Governance Working Group, Malaysian Institute of Accountants.



SAKET BHARTIA

Saket Bhartia is Managing Director of the Forensic Investigations and Intelligence practice for South East Asia at Kroll, and is based in Singapore. Bhartia leverages more than 20 years of experience assisting clients in investigating financial statement fraud, siphoning of funds, embezzlement, asset misappropriation, bribery and corruption issues, regulatory non-compliance, code of conduct violations, supply chain leakages, and ethics violations.

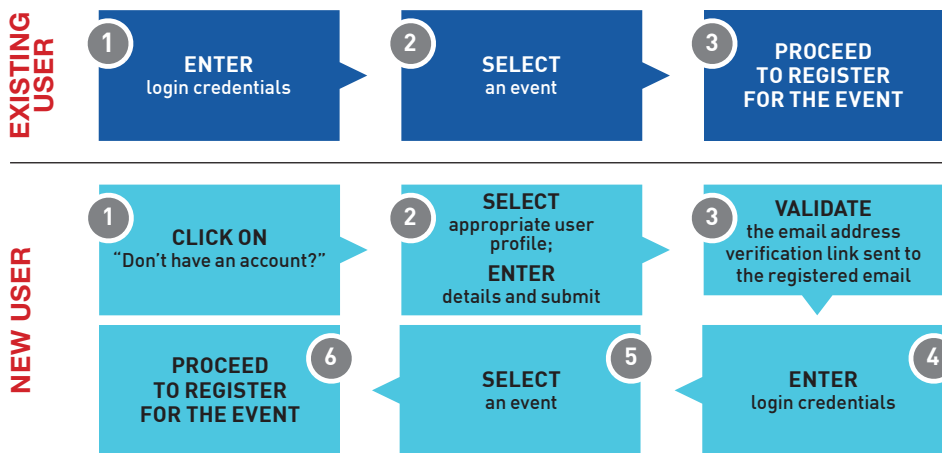


SANJAY SIDHU

Sanjay is Executive Director, Advisory at BDO Malaysia and has over 30 years of assurance, advisory and compliance experience. He currently manages a portfolio of forensic, Internal Audit, and risk and governance engagements across a range of industries, and regularly provides Expert Witness testimony in support of forensic engagements. He commenced his career in 1991 with Touche Ross & Co in the UK before joining Ernst & Young in the UK and Poland. He continued his career holding internal audit leadership roles at a number of Fortune 500 companies based in the Netherlands responsible for the Europe, Middle East and Africa regions, before returning to Malaysia in 2012 to join BDO. Sanjay is a Member of the Institute of Chartered Accountants of Scotland (ICAS), Certified Information Systems Auditor (CISA), MIA and the Association of Certified Fraud Examiners (ACFE).

REGISTRATION PROCESS

- To view more events and download the full brochure, please visit: pd.mia.org.my
- Search and select the event
- Click 'Register' to experience the new system by continuing with the respective steps below:



For any assistance, please call (8.45am-5.30pm, Monday-Friday)
MIA Help Desk @ 603-2722 9000

TERMS & CONDITIONS

PROGRAMME FEE

- Fee is payable to MALAYSIAN INSTITUTE OF ACCOUNTANTS
- Depending on the event, the fee includes course materials and/or lunch and/or tea breaks.
 - Individual Registration:** Full payment shall be made at the point of online registration.
 - Corporate Registration:** Full payment shall be made within thirty (30) days from the date of the Invoice or 1 day before the programme, whichever earlier.
- Admittance to the programme shall be granted only upon full payment as per the above requirement.

PAYMENT MODE

- Payment must be made through the **electronic channels i.e. online payment via the MIA member service portal and electronic fund transfer (EFT).**
- Payment by **cash and cheque is NOT ACCEPTABLE** effective from 1 January 2022.

HRD CORP (FOR CLAIMABLE EVENTS ONLY)

- MIA is an approved Training Provider registered under 'Institut Akauntan Malaysia' (MyCoID: 631967).
- Employer's Obligations**
 - To ensure grant approval is obtained prior to event registration and to provide the Grant ID notification upon event registration.
 - To make full payment to MIA as per the issued Invoice within 14 working days upon receipt of MIA's notification in the event the approved training fee is cancelled by HRDC due to non-compliance on the part of the participant or his/her employer or any valid reasons stipulated by HRDC.
 - To settle the balance payment to MIA within 14 working days upon receipt of MIA's notification in the event only partial claim is approved by HRDC. MIA will provide copy of the original invoice and will not issue a new invoice for the balance amount.
 - If employer has made payment prior to grant approval, a refund will be made to employer subject to reimbursement received from HRDC. Refund will be made upon receipt of duly completed employer's EFT Form.
 - To provide required information and/or documents after completion of event for the purpose of HRDC Claim within 7 working days upon receipt of MIA's notification.

CANCELLATION

- Should the participant decide to cancel his/her enrolment, a cancellation policy shall be applied as follows:
- For written cancellation received with minimum seven (7) days' notice from the date of the programme, no penalties will be imposed and full refund will be made to participants who have paid.
 - For written cancellation received less than seven (7) days from the date of the programme, an administrative charge of 20% of the registration fee will be imposed. Unpaid registrations will also be liable for a 20% administrative charge.
 - No refunds will be made for written cancellations received on the day of the programme or for participants who failed to join the programme. Unpaid registrations will also be liable for full payment of the registration fee.
 - Replacing registered participants is not allowed.
 - Paid registration that is cancelled can opt to transfer the paid amount to future event(s) after deducting any applicable administrative charges.
 - The transfer request to future event(s) should be confirmed by Corporate/Individual within three (3) days after cancellation otherwise the cancellation will be confirmed with refund action. Transfer request will not be entertained after the refund is processed.

- Corporate/Individual is required to top-up the balance amount if the amount to be transferred to the future event is insufficient.
- Any excess amount after transfer will be refunded to the Corporate/Individual's bank account as provided in the EFT form.
- Corporate/Individual is required to provide the EFT form each time when a refund is requested.

PARTICIPANT'S CLASSIFICATION AND INFORMATION

- Category: Corporate/Individual
- Please select the participant classification carefully as it determines the fee payable. No alteration will be allowed upon registration.
 - The information on Corporate/Individual provided shall be deemed true and correct. No alteration will be allowed upon registration.

VERIFICATION OF ATTENDANCE

- All participants are required to present photo identification (NRIC, driving licence or company's ID card) at the point of registration prior to signing the registration list when attending the programme. Admittance may be denied upon failure to present photo identification.

CERTIFICATE OF ATTENDANCE AND CPE CREDIT HOURS

- Upon full attendance of the programme, participants will be issued an e-certificate of attendance. For this purpose, it is **COMPULSORY** to fill in the email address clearly.
- CPE credit hours will be credited into the MIA Member Services Portal within 14 days of the programme for participants who have complied with all terms and conditions stipulated herein.
- Participants will only be entitled to the CPE hours upon attending the entire duration of the programme. CPE hours will not be accorded for partial attendance.

COPYRIGHT

The materials of the programme shall not be disclosed or used in any manner, either wholly or partially against any other parties and/or used in any manner, either wholly or partially as a defence by you and/or any other parties under any circumstances. The participants are therefore prohibited from reproducing any materials of this programme. All copyright and/or intellectual property rights in any relevant materials produced in this Programme will remain with the party who produced such materials. MIA disclaims responsibility for the materials of this programme. Neither the MIA, its Council or any of its Boards or Committees nor its staff shall be responsible or liable for any claims, losses, damages, costs or expenses arising in any way out of or in connection with any persons relying upon the materials provided during the programme.

DATA PROTECTION

Information given by the participants to MIA is true, accurate and to the best of their knowledge. The participants have read and agreed with the Privacy Notice as stated on MIA's official website and therefore, allow MIA to collect, process, store and use the participants' data other than what is provided under the Personal Data Protection Act 2010.

EXCLUSION OF LIABILITY

This programme shall not constitute an endorsement of the speaker(s) by MIA and MIA shall not be liable for whatsoever circumstances arising from any engagement between the speaker(s) and the programme's participants.

DISCLAIMER

Malaysian Institute of Accountants (MIA) reserves the right to change the speaker(s), date(s), time(s) and to cancel the programme should circumstances beyond its control arise. MIA shall not be responsible for any costs, damages or losses incurred by the participant due to the changes and/or cancellation. MIA also reserves the right to make alternative arrangements without prior notice should it be necessary to do so. Upon registering, you are deemed to have read and accepted the terms and conditions herein.

CONFERENCE FEES

Member (CIMA/MICPA)*	
Member Firm	RM 1,100
Non-member	RM 1,450
Academician	RM 700

* Members of CIMA, MICPA and group registrations are required to contact MIA to register for this conference.
 Preferred Payment: Pay with MIA-CIMB Affinity Credit Card

CONFERENCE DETAILS & REGISTRATION

11 April 2023 (Tuesday), 8.30am – 4.30pm
 Berjaya Times Square Hotel, Kuala Lumpur

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